

7.14  $\langle Tv, v \rangle$  is real for all  $v \iff T$  is self-adjoint (assuming  $\mathbf{F} = \mathbf{C}$ )

Suppose  $V$  is a complex inner product space and  $T \in \mathcal{L}(V)$ . Then

$T$  is self-adjoint  $\iff \langle Tv, v \rangle \in \mathbf{R}$  for every  $v \in V$ .

**proof** If  $v \in V$ , then  $\langle T^*v, v \rangle = \langle v, Tv \rangle = \overline{\langle Tv, v \rangle}$ . As such, we get

$$T \text{ is self-adjoint} \iff T = T^*$$

$$\iff T - T^* = 0$$

$$\iff \langle (T - T^*)v, v \rangle = 0 \text{ for all } v \in V$$

$$\iff \langle Tv, v \rangle - \overline{\langle Tv, v \rangle} = 0 \text{ for all } v \in V$$

$$\iff \langle Tv, v \rangle \in \mathbf{R} \text{ for all } v \in V$$

If  $z = x + iy, \bar{z} = x - iy$   
 $z - \bar{z} = x + iy - x + iy = 2iy$

7.16  $T$  self-adjoint and  $\langle Tv, v \rangle = 0$  for all  $v \iff T = 0$

Suppose  $T$  is a self-adjoint operator on  $V$ . Then

$\langle Tv, v \rangle = 0$  for every  $v \in V \iff T = 0$ .

**proof** We have already proved this in the case that  $\mathbf{F} = \mathbf{C}$ .

So, assume  $V$  is a real inner product space.

Then, if  $u, w \in V$ , we have

$$\langle Tu, w \rangle = \frac{\langle T(u+w), u+w \rangle - \langle T(u-w), u-w \rangle}{4}$$

(which follows from  $\langle Tw, u \rangle = \langle w, Tu \rangle = \langle Tu, w \rangle$ )

$\uparrow$  self adjoint       $\uparrow$  since  $V$  is a real inner product space

So  $\langle Tu, w \rangle$  is of the form of a sum of inner products of the form  $\langle Tv, v \rangle$  for several  $v$ 's

So, suppose  $\langle Tv, v \rangle = 0$  for all  $v \in V$ . Then,  $\langle Tu, w \rangle = 0$  for all  $u, w \in V$  and this implies that  $T = 0$ .

The other direction is easy: If  $T = 0$ , then  $\langle Tv, v \rangle = \langle 0, v \rangle = 0, \forall v \in V$ .

## Normal Operators

### 7.18 definition: *normal*

- An operator on an inner product space is called normal if it commutes with its adjoint.
- In other words,  $T \in \mathcal{L}(V)$  is normal if  $TT^* = T^*T$ .

**Idea** Every self-adjoint operator satisfies  $T = T^*$ , so certainly  $T^*T = T^2 = TT^*$  (i.e. every self-adjoint operator is normal). So, in some sense, normality is just a way of weakening self-adjointness.

### 7.20 $T$ is normal if and only if $Tv$ and $T^*v$ have the same norm

Suppose  $T \in \mathcal{L}(V)$ . Then

$$T \text{ is normal} \iff \|Tv\| = \|T^*v\| \text{ for every } v \in V.$$

**Proof**

$$T \text{ normal} \iff TT^* = T^*T$$

$$\iff T^*T - TT^* = 0$$

$$\iff \langle (T^*T - TT^*)v, v \rangle = 0 \text{ for all } v \in V$$

$$\iff \langle T^*Tv, v \rangle = \langle TT^*v, v \rangle \text{ for all } v \in V$$

$$\iff \langle Tv, Tv \rangle = \langle T^*v, T^*v \rangle \text{ for all } v \in V$$

$$\iff \|Tv\|^2 = \|T^*v\|^2 \text{ for all } v \in V$$

$$\iff \|Tv\| = \|T^*v\| \text{ for all } v \in V$$

Notice that  $(T^*T - TT^*)^*$   
 $\begin{aligned} &= (T^*T)^* - (TT^*)^* \\ &= T^*(T^*)^* - (T^*)^*T^* \\ &= T^*T - TT^* \end{aligned}$   
 Same!  
 So,  $T^*T - TT^*$  is self-adjoint!

7.21 range, null space, and eigenvectors of a normal operator

Suppose  $T \in \mathcal{L}(V)$  is normal. Then

- (a)  $\text{null } T = \text{null } T^*$ ;
- (b)  $\text{range } T = \text{range } T^*$ ;
- (c)  $V = \text{null } T \oplus \text{range } T$ ;
- (d)  $T - \lambda I$  is normal for every  $\lambda \in \mathbb{F}$ ;
- (e) if  $v \in V$  and  $\lambda \in \mathbb{F}$ , then  $Tv = \lambda v$  if and only if  $T^*v = \bar{\lambda}v$ .

**Proof** (a) using the last result,  $T$  is normal iff  $\|Tv\| = \|T^*v\|$  for all  $v \in V$ . So,  $v \in \text{null } T \Leftrightarrow Tv = 0 \Leftrightarrow \|Tv\| = 0 \Leftrightarrow \|T^*v\| = 0 \Leftrightarrow T^*v = 0 \Leftrightarrow v \in \text{null } T^*$

$$(b) \text{range } T = (\text{null } T^*)^\perp = (\text{null } T)^\perp = \text{range } T^*$$

$$(c) V = \text{null } T \oplus (\text{null } T)^\perp = \text{null } T \oplus \text{range } T^* = \text{null } T \oplus \text{range } T$$

$$\begin{aligned} (d) \text{ } \& \lambda \in \mathbb{F}. \text{ Then, } (T - \lambda I)(T - \lambda I)^* &= (T - \lambda I)(T^* - \bar{\lambda} I) \\ &= TT^* - \bar{\lambda} IT - \lambda IT^* + \lambda \bar{\lambda} I^2 \\ &= T^*T - \bar{\lambda} T - \lambda T^* + \lambda \bar{\lambda} I \\ &= (T^* - \bar{\lambda} I)(T - \lambda I) \\ &= (T - \lambda I)^*(T - \lambda I) \end{aligned}$$

$$(e) \text{ } \& v \in V \text{ } \& \lambda \in \mathbb{F}. \text{ Then, } \|(T - \lambda I)v\| = \|(T - \lambda I)^*v\| = \|(T^* - \bar{\lambda} I)v\|.$$

So,  $(T - \lambda I)v = 0$  if & only if  $(T^* - \bar{\lambda} I)v = 0$ . That is,  
 $Tv = \lambda v$  if & only if  $T^*v = \bar{\lambda}v$ .

## 7.22 orthogonal eigenvectors for normal operators

Suppose  $T \in \mathcal{L}(V)$  is normal. Then eigenvectors of  $T$  corresponding to distinct eigenvalues are orthogonal.

Proof

$\$ \alpha, \beta \in \mathbb{F}$  are distinct e-vals of  $T$   
 $\$ u, w \in V$  are corresponding e-vecs (so that  $Tu = \alpha u, Tw = \beta w$ ).  
 Then,  $T^*w = \bar{\beta}w$  (since  $Tv = \lambda v \Rightarrow T^*v = \bar{\lambda}v$ ). Thus, we have

$$\begin{aligned} (\alpha - \beta) \langle u, w \rangle &= \alpha \langle u, w \rangle - \beta \langle u, w \rangle \\ &= \langle \alpha u, w \rangle - \langle \beta u, w \rangle \\ &= \langle \alpha u, w \rangle - \langle u, \bar{\beta} w \rangle \\ &= \langle Tu, w \rangle - \langle u, T^*w \rangle \\ &= \langle Tu, w \rangle - \langle Tu, w \rangle \\ &= 0 \end{aligned}$$

Since  $\alpha \neq \beta$ ,  $\langle u, w \rangle = 0$  must hold.

## 7.23 $T$ is normal $\iff$ the real and imaginary parts of $T$ commute

Suppose  $\mathbb{F} = \mathbb{C}$  and  $T \in \mathcal{L}(V)$ . Then  $T$  is normal if and only if there exist commuting self-adjoint operators  $A$  and  $B$  such that  $T = A + iB$ .

Proof

First,  $\$ T$  is normal. Then, let

$$A = \frac{T + T^*}{2}, \quad B = \frac{T - T^*}{2i} \quad \& \text{ notice } A + iB = \frac{T + T^*}{2} + \frac{T - T^*}{2} = \frac{2T}{2} = T.$$

$$\text{Since } A^* = \frac{T^* + T}{2} = \frac{T + T^*}{2} = A \quad \& \quad B^* = \overline{\left(\frac{1}{2i}\right)}(T^* - T) = \left(-\frac{1}{2i}\right)(T^* - T) = \frac{T - T^*}{2i} = B,$$

both  $A$   $\&$   $B$  are self-adjoint. Moreover,

$$AB = \left(\frac{T^* + T}{2}\right) \left(\frac{T - T^*}{2i}\right) = \left(\frac{1}{4i}\right) (T^*T - T^*T^* + TT - TT^*) = \frac{TT - T^*T^*}{4i}$$

$$BA = \left(\frac{T - T^*}{2i}\right) \left(\frac{T + T^*}{2}\right) = \left(\frac{1}{4i}\right) (TT + TT^* - T^*T - T^*T^*) = \frac{TT - T^*T^*}{4i}$$

So, we are done!

Now, in the other direction, if  $T = A + iB$  for  $A, B$  self adjoint commuting operators, then  $T^* = A^* - iB^* = A - iB$ . So,

$$T^*T = (A - iB)(A + iB) = A^2 + iAB - iBA + B^2 = A^2 + B^2$$

$$TT^* = (A + iB)(A - iB) = A^2 - iAB + iBA + B^2 = A^2 + B^2 \quad \& \quad T \text{ is normal.}$$